GOVERNMENT OF ANDHRA PRADESH
PUBLIC HEALTH AND MUNICIPAL ENGINEERING DEPARTMENT

ADVERTISEMENT FOR INVITING APPLICATION FOR RFQ DOCUMENT

Bid No. 76/UGDS/ELR/PH/Anuity/TS-JTO.5/2008, Dt.05/07/2008

PREQUALIFICATION FOR ANNUITY SCHEME

Bids for Prequalification are invited for a Project which included with in the State of Andhra Pradesh, the Project “Design, Finance and Execution of Under Ground Drainage system with Treatment Plant in 1 Town Area in Eluru Municipal Corporation, West Godavari District, Andhra Pradesh under Annuity scheme”. The Project contract includes the following Principal item of works.

- Tentative cost of project - 1320.00 Lakhs
- Laying of Sewer Lines - 14000 Rmt
- Time for completion - 12 Months.

Proprietorship, Partnership and Corporate entities (public or private limited company registered under the Companies Act, 1956 or equivalent International Law) and Civil Contractor having registration with Government of Andhra Pradesh who have experience in the execution of similar works and consider themselves capable of executing the Project works can download Prequalification documents from www.eprocurement.gov.in from 09-07-2008 10.30 AM to 22-07-2008 5.00PM

The completed Prequalification document and questionnaire should be submitted to the Employer / Engineer in the sealed cover at the address given below on or before 25-07-2008 3.00 PM. The Employer / Engineer reserve the right to deny prequalification to any applicant without assigning any reason.

The sealed cover must be super scribed with RFQ for “Design, Finance and Execution of Under Ground Drainage system with Treatment Plant in 1 Town Area in Eluru Municipal Corporation, West Godavari District, Andhra Pradesh under Annuity scheme”.

Address
Superintending Engineer,
Public Health Circle,
Gorakshnapeta,
Rajahmundry,
East Godavari District,
Andhra Pradesh, INDIA
Ph:0883-2435060
Fax:0883-2467657
E-mail address: sephrjay@yahoo.com

Bidder
Sd/- B.Janakiram
Superintending Engineer,
Public Health, Rajahmundry

1
Public Health, Rajahmundry.
REQUEST FOR QUALIFICATION

(This section provides a format of acknowledgement from the party to whom this document has been provided)

Government of Andhra Pradesh (GOAP)
PUBLIC HEALTH AND MUNICIPAL ENGINEERING DEPARTMENT

“Design Finance and Execution of Under Ground Drainage System WithTreatmentPlant for 1 Town area in Eluru Municipal Corporation, West Godavari District, Andhra Pradesh under Annuity Scheme”.

(Bid Notice No. 76/UGDS/ELR/PH/Anuity/TS-JTO.5/2008, Dt.05/07/2008

Details of Work

“Design Finance and Execution of Under Ground Drainage System WithTreatmentPlant for 1 Town area in Eluru Municipal Corporation, West Godavari District, Andhra Pradesh under Annuity Scheme”.

“Notes:
1. This “Request for Qualification” (RFQ) document is in one volume containing 27 pages.

Acknowledgement: (to be returned to the following address on receipt of this Document)

Superintending Engineer, Public Health Circle, Gorakshnapeta, Rajahmundry, East Godavari District, Andhra Pradesh, INDIA
Ph:0883-2435060;Fax:0883-2467657;E-mail address: sephrjy@yahoo.com

<table>
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<tr>
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<th>(Name of the person)</th>
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<tbody>
<tr>
<td>Designation</td>
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<td>Signature</td>
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<td>Date of Receipt</td>
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Bidder

Sd/-
Superintending Engineer,
Public Health, Rajahmundry.
Request for Qualification

DISCLAIMER

1. This Request for Qualification (RFQ) is not an offer or a Request by Superintending Engineer, Public Health Circle, Rajahmundry (herein after called the Client) to prospective bidders and should not be treated as a bid document. The purpose of this Request for Qualification is to elicit information from interested parties about their eligibility to bid with a view to shortlist prospective bidders.

2. This RFQ includes statements, which reflect various assumptions, which may not be accurate. This RFQ does not purport to contain all the information each prospective bidder may require. This RFQ may not be appropriate for all persons, and it is not possible for the client to consider the investment objectives, financial situation and particular needs of each party who reads or uses this RFQ document. Each prospective bidder should conduct its own investigation and analysis and should check the accuracy, reliability and completeness of the information in this RFQ and obtain independent advice from appropriate sources.

3. Neither the client nor their employees or consultants make any representation or warranty as to the accuracy, reliability or completeness of the information in this RFQ.

4. Neither the client nor their employees or consultants will have any liability to any prospective bidder or any other person under the law of contract, tort, the principles of restitution or unjust enrichment or otherwise for any loss, expense or damage which may arise from or be incurred or suffered in connection with anything contained in this RFQ document, any matter deemed to form part of this RFQ document, the award of the Project, the information and any other information supplied by or on behalf of the client or their employees, any consultants or otherwise arising in any way from the selection process for the Project.

5. Intimation of any discrepancy shall be given at the address mentioned below immediately. If no intimation is received by the below mentioned office within 7 days from the date of issue of RFQ, then this office shall consider that the RFQ documents received by the Bidder are complete in all aspects and that the Bidder is satisfied that the RFQ document is complete.

Office: Superintending Engineer, Public Health Circle, Gorakshnapeta, Rajahmundry, EastGodavari District, Andhra Pradesh, INDIA
Ph:0883-2435060;Fax:0883-2467657;E-mail address: sephrjy@yahoo.com

Bidder

Sd/-
Superintending Engineer,
Public Health, Rajahmundry.
6. The client reserves the right to accept or reject any or all of the offers/proposals at any stage of the process.

7. The client reserves the right to change any or all of the provisions of this Request for Qualification. Such a change would be intimated to all the parties procuring this RFQ Document.

8. The client reserves the right to change, modify, add to or alter the bidding process including inclusion of additional evaluation criteria for further shortlisting of Pre-Qualified Bidders at the Request for Qualification (RFP) stage, based on detailed evaluation of capabilities. Any change in the bidding process shall be intimated to all the affected parties.
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Bidder

Sd/-
Superintending Engineer,
Public Health, Rajahmundry.
## LIST OF ABBREVIATIONS

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<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
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<tbody>
<tr>
<td>PHMED</td>
<td>PUBLIC HEALTH AND MUNICIPAL ENGINEERING DEPARTMENT</td>
</tr>
<tr>
<td>B-O-T</td>
<td>Build Operate Transfer</td>
</tr>
<tr>
<td>GoAP</td>
<td>Government of Andhra Pradesh</td>
</tr>
<tr>
<td>LCM</td>
<td>Lead Consortium Member</td>
</tr>
<tr>
<td>mn.</td>
<td>Million</td>
</tr>
<tr>
<td>MoU</td>
<td>Memorandum of Understanding</td>
</tr>
<tr>
<td>P.H</td>
<td>Public Health</td>
</tr>
<tr>
<td>RFP</td>
<td>Request for Proposal</td>
</tr>
<tr>
<td>Rs.</td>
<td>Indian Rupees</td>
</tr>
<tr>
<td>SCM</td>
<td>Significant Consortium Member</td>
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</table>

Bidder

Sd/-
Superintending Engineer,
Public Health, Rajahmundry.
DEFINITIONS

Bidder

Bidding Company or Bidding Consortium, as defined below.

Bidding Company

If the bid for the Project is made by a single corporate entity, it shall be referred to as Bidding Company.

Bidding Consortium

If the bid for the Project is made by more than one corporate entity, then this group of corporate entities shall be referred to as a Bidding Consortium. The maximum Entities permissible in the Consortium is 3 (Three) only.

Concession Agreement

The agreement to be entered into between GOAP and the Successful Bidder based inter alia on the terms of this Document, the terms set out in the Request for Proposal Document and the Detailed Proposal submitted in response to the RFP by the Successful Bidder.

Eligibility Criteria for Technical Experience

The eligibility criteria for Technical experience as set out in Section 3.2.3 of this RFQ Document.

Eligibility Criteria for Financial Capability

The eligibility criteria for financial capability as set out in Section 3.2.4 of this RFQ Document.

Lead Developer

The Lead Developer shall be the Bidding Company in case of the bid for the Project is made by a single corporate entity.

Lead Consortium Member (LCM)

In case of a Bidding Consortium, the Lead Consortium Member shall be the Consortium Member that is in-charge of developing the Project and meets the requirements set forth under Exhibit 4 of this Request for Qualification document. The Lead Consortium

Bidder

Sd/-

Superintending Engineer,

Public Health, Rajahmundry.
Member shall necessarily make the maximum equity contribution in the Consortium, and this equity contribution shall not be less than 26%.

The MOU to be entered into between the Consortium Members as per the proforma presented in Exhibit 2 shall reflect the above. The LCM shall be the authorised representative of the Bidding Consortium and shall be liable to the client for all the obligations of the Bidder.

**Lead Financial Member (LFM)**

In case of a Bidding Consortium, the Lead Financial Member shall be the Consortium Member that is in-charge of developing the Project and meets the requirements set forth under Exhibit 4 of this Request for Qualification document. The Lead Financial Member shall necessarily make the maximum equity contribution in the Consortium, and this equity contribution shall not be less than 26%.

**Consortium Member**

Each corporate entity in the Bidding Consortium with a minimum equity stake of 10% shall be referred to as a Consortium Member.

**MOU**

The memorandum of understanding as described in Exhibit 4

**Pre-Qualified Bidders**

After the first stage of selection, based on the Proposal for Pre-Qualification, the Bidders qualified for the subsequent stage shall be referred to as the Pre-Qualified Bidders

**Project**

“**Design Finance and Execution of Under Ground Drainage System With Treatment Plant for 1 Town area in Eluru Municipal Corporation, West Godavari District, Andhra Pradesh** under **Annuity Scheme**”.

**Proposal for Pre-Qualification**

Proposal submitted by the Bidder in response to the Request for Qualification document.

**Request for Proposal**

Bidder

Sd/-

Superintending Engineer,

Public Health, Rajahmundry.
The document to be issued to the Pre-Qualified Bidders, asking for their Commercial Proposal

Request for Qualification

The present document, being issued to the prospective Bidders, asking for their Proposals for Pre-Qualification

Rupees

The official currency of the Republic of India

Selected Bidder/Preferred Bidder

The Bidder finally selected to develop the Project.

Significant Consortium Member (SCM)

A Consortium Member with a not less than a 26% equity stake in the Bidding Consortium would be referred to as a Significant Consortium Member.

Subsidiary

For a Bidding Company or a Significant Consortium Member, only those entities would be “Subsidiary (ies)” in which the Bidding Company / Significant Consortium Member:

- Hold(s) not less than 26% of the voting securities, either directly or indirectly, in case the relevant entity is a company. Holding an indirect stake in a company implies a stake held in the company through a chain of other companies, in which event, the percentage holding would be considered on proportionate terms,
1. INTRODUCTION & BACKGROUND

1.1. PROPOSED DEVELOPMENT UNDER THIS PROJECT

The GOAP proposes to develop “Design Finance and Execution of Under Ground Drainage System With Treatment Plant for 1 Town area in Eluru Municipal Corporation, West Godavari District, Andhra Pradesh under Annuity Scheme” through PHMED.

The Sewer Pipe Lines will be approximately 14000 Rmt in the 1 Town areas of the Eluru Municipal Corporation.

Preliminary cost estimates have put the total project cost at around Rs. 1320.00 Lakhs including all reimbursable and recoverable Taxes.

The GOAP intents to develop the above project under Annuity Scheme basis by appointment of a suitable concessionaire selected through a fair, transparent, and efficient selection process. The objective of this pre-qualification process is to shortlist Bidders who have the financial strength and the requisite experience to implement the Project.
2. DESCRIPTION OF THE SELECTION PROCESS

The selection process would consist of the following stages:

1. Request for Qualification
2. Request for Proposal

The detailed process to be followed for the second stage shall be specified in the Request for Proposal document, which would be made available to the Pre-Qualified Bidders.

2.1. REQUEST FOR QUALIFICATION

As a part of the first stage, the client is issuing this Request for Qualification, inviting prospective Bidders to submit their Proposals for Pre-Qualification. Proposals submitted by interested parties in response to this Request for Qualification, shall be evaluated as detailed in Section 3. The information required to be provided by the Bidders submitting their Proposals for Pre-Qualification is outlined in Exhibit 6.

2.2. REQUEST FOR PROPOSAL

In this second stage, a Request for Proposal shall be issued to the Pre-Qualified Bidders. The client would endeavour to make the Request for Proposal an exhaustive and comprehensive document detailing the criteria for the implementation and operation of the project during the concession period.

2.3. PRE-BID CONFERENCE

The client will hold a Pre-Bid Conference with the interested Bidders to discuss the issues at the time and date mentioned in 2.6

2.4. FEES AND DEPOSITS TO BE PAID BY THE BIDDERS

2.4.1. Bid Security

No earnest money deposit is required to be provided along with the Proposals for Pre-Qualification. After the Pre-Qualification process, the Pre-qualified Bidders submitting their Proposals in response to the Request for Proposal, would be required to submit a security, the scope, mode and terms of which shall be specified in the Request for Proposal.
2.4.2. Performance Security
The Selected Bidder on receiving the letter of award, shall be required to provide a performance security, the scope, mode and terms of which shall be specified in the Request for Proposal.

2.5. COMMITMENT TO A FAIR AND TRANSPARENT PROCESS

The client is keen to ensure that the process, leading to the selection of the Selected Bidder is fair, transparent, efficient, interactive, and protects the confidentiality of the information shared by Bidders with it.

The selection process has been designed keeping these objectives in mind, and the client shall take all steps to ensure that the above objectives are realised.

2.6. TENTATIVE TIME TABLE AND MILESTONES

<table>
<thead>
<tr>
<th>S.No.</th>
<th>Milestone</th>
<th>Schedule</th>
</tr>
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<tbody>
<tr>
<td>1</td>
<td>Request for Qualification document made available to the Bidders</td>
<td>• From 09-07-2008 10.30 AM to 22-07-2008 5.00 PM on all working days</td>
</tr>
<tr>
<td>2.</td>
<td>Pre bid Conference / Receipt of Queries</td>
<td>• On 16-07-2008 during working hours in the office of the Superintending Engineer, Public Health Circle, Rajahmundry</td>
</tr>
<tr>
<td>3</td>
<td>Last date for submission of Proposals for Pre-Qualification (filled RFQ documents)</td>
<td>• Upto 3.00 PM on 25-07-2008</td>
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In the event any of the dates mentioned above are declared Government Holidays, the event/deadline would be postponed to the next working day at the same time.

Bidder

Sd/-
Superintending Engineer,
Public Health, Rajahmundry.
3. THE PRE-QUALIFICATION PROCESS

3.1. THE OBJECTIVE OF THE PRE-QUALIFICATION PROCESS

The objective of the pre-qualification process is to shortlist Bidders who have the financial strength and the technical experience to implement the Project.

On each of the above parameters, the applicant would be required to meet the eligibility criteria as detailed in the next section. Any applicant meeting all the criteria will, subject to the provisions of this RFQ document, be qualified to submit its Proposal for the project.

3.2. ELIGIBILITY CRITERIA FOR THE PROPOSAL FOR PRE-QUALIFICATION

3.2.1. Eligibility Criteria for Bidding

Proprietorship, Partnership and Corporate entities (public or private limited company registered under the Companies Act, 1956 or equivalent International Law) Civil Contractor having registration with Government of Andhra Pradesh would be eligible to bid for the Project. All consortium members and entities whose capability is to be considered for evaluation would also need to meet these criteria. Bidders may be required to produce certified copies of their incorporation to demonstrate their eligibility.

In case of a Bidding Consortium, the Lead Consortium Member (LCM) shall be that Consortium Member vested with the prime responsibility of developing the Project. The Lead Consortium Member shall necessarily make the maximum equity contribution in the Consortium, and this equity contribution shall not be less than 26%. Where the technical qualification is being demonstrated through a consortium member, such technical member shall also hold not less than 26% equity stake in the project and would continue to hold this stake for at-least 3 years after the completion of the project.

3.2.2. Eligible entities for evaluation under the minimum eligibility criteria

3.2.2.1. For a Bidding Company

- For evaluation under the Financial Capability criteria, the strength of the Bidding Company shall be considered. The Bid should clearly indicate the entity that should be considered for the evaluation of Financial Capability as per the format in Exhibit-5.
For evaluation of the technical criteria, the strength of the Bidding Company shall be considered.

3.2.2.2. For a Bidding Consortium

In the interest of the Project, it is sought to have a very well rounded capability profile for the Bidder. In the event that the Bidder does not have the same, opportunity is given to bring together a consortium with the relevant strengths. The following terms and conditions would, however, apply:

Bidding Consortium:-

- In case the Applicant is a Consortium, the technical experience of Lead Consortium Member (LCM) and any one of the Significant Consortium Member (SCM) would be considered for the purpose of evaluation. i.e.,
  (i) to be demonstrated by LCM or any one of the SCM of criteria mentioned in 3.2.3(a) of technical experience; and
  (ii) to be demonstrated by LCM or any one of the SCM of criteria mentioned in 3.2.3(b) of technical experience

The Bid should clearly indicate the entity/entities that should be considered for the evaluation of technical experience as per the formats in Exhibit-5.

- The Consortium would be required to meet the **threshold financial criteria** on the basis of aggregate figures, proportionate to their financial participation.

- The Consortium would need to specify a Lead Financial Member for the project
  - The Lead Financial Member would be required to demonstrate threshold financial capability of at least 50% of the criteria specified

- To become a member of the Consortium, it is required to hold a minimum equity stake equal to 10% of the aggregate shareholding of the Consortium in the Project up to the date of completion of construction (COD).

  The Bid should clearly indicate the entity/entities that should be considered for the evaluation of Financial Capability as per the formats in Exhibit-5.

3.2.3. Eligibility Criteria for Technical Experience

The Bidding Company or a Consortium as per the criteria 3.2.2.1 and 3.2.2.2 respectively should demonstrate the following technical qualification one financial year in . They should furnish the details as per Exhibit-6.
a) Should have successfully developed / executed Sewer Lines / Water Supply Pipe Lines of minimum length of 7000 Rmt in any one financial year during the last 5 years immediately preceding the applications due date under PPP/BOT/ Annuity/Any public Funding scheme and

b) Should have executed successfully Category I works .Category I: Not less than 20% of total present project cost i.e Rs.264.00 Lakhs in any one financial year in the last 5 years preceding the application due date.

(OR)

C) Should have executed successfully Category II works. Category II: Not less than 30% total present project cost i.e Eligible cost of the project i.e Rs.396.00 Lakhs in any one financial year in the last 5 years preceding the application due date.

Category I : It includes Sewer / Water Supply Pipes Lines, with weightage factor 1.0
Category I : It includes Civil Works factor of 0.5

The Projects should have been commissioned & completed during the 5 years period immediately preceding the Applications due date. The escalation factors for relevant projects under both categories are given below.

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<td>2006-07</td>
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<td>1.40</td>
</tr>
<tr>
<td>2003-04</td>
<td>1.50</td>
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</tbody>
</table>

3.2.4. Eligibility Criteria for Financial Capability

The Bidding Company or a Consortium as per the criteria 3.2.2.1 and 3.2.2.2 respectively should meet the following eligibility criteria for financial capability. They should furnish the details as per Exhibit-6

(a) Net worth: Average Net worth during any one financial year during the last three financial years should be at least 25% of the total project cost. i.e. Rs.330.00Lakhs.
(b) The Average Aggregate Net Cash Accruals during any one financial year during the last three financial years should be at least 10% of the total project cost i.e. Rs.132.00 Lakhs.

3.3. PRE-QUALIFICATION

Bidders satisfying the above two criteria shall be declared as pre-qualified and considered for the further process of the bid.

3.4. Guidelines for Submission of Proposal by a Consortium

1. The Proposal shall contain a copy of the Memorandum of Understanding (MoU) entered into between the Member Companies, as per the Principles of MoU specified in Exhibit 4.
2. In the absence of such a document, the Proposal would be considered and evaluated as one from an individual company alone, submitting the Proposal.
3. For a Bidding Consortium, no change in the membership of the consortium or in responsibilities shall be allowed after submission of the Proposal for Pre-Qualification until the results of the pre-qualification are announced. For a pre-qualified consortium, if such a change in membership pattern or responsibilities is desired, it must be communicated to the client in writing for its approval. Any change in the composition of the consortium or the proposed role definition of member Companies after submission of the proposal would be recognised and permitted by the client only if such change is in the opinion and discretion of the client, not prejudicial to the strengths of the consortium as were evaluated earlier. The evaluation criteria specified in this document would be used as a guiding tool to ascertain the strengths of the revised consortium.
4. The client reserves the right to reject any proposal pursuant to a change in the composition of the bidding consortium without ascribing any reason whatsoever.
5. For a Bidding Consortium, the Proposal submitted by the Consortium should contain signed letters submitted by each of the Member Companies, stating that the entire proposal has been examined and each key element of the proposal is agreed to, as specified in Exhibit 2.
6. A corporate entity cannot propose to be Member of more than one consortium for submission of the Proposal for Pre-Qualification for the Project.
4. PROCEDURES TO BE FOLLOWED

4.1. SUBMISSION OF PROPOSALS FOR PRE-QUALIFICATION

The Proposals for Pre-Qualification, *one original and two copies*, organised in a manner as specified in Section 4.5 should be packed in a sealed envelope, with the following inscription:

**Proposal for Pre-Qualification for “Design Finance and Execution of Under Ground Drainage System With Treatment Plant for 1 Town area in Eluru Municipal Corporation, West Godavari District, Andhra Pradesh under Annuity Scheme”**.

**Name of the Bidder: __________________________**

**To,**
Superintending Engineer,
Public Health Circle,
Gorakshnapeta,
Rajahmundry,
East Godavari District,
Andhra Pradesh, INDIA

The Bidder has the option of sending his Proposal for Pre-Qualification by registered post or submitting the proposal in person so as to reach the designated address by 3.00 PM on the last date as given in Section 2.6. The client shall not be responsible for any delay in receipt of the proposals. Any proposal received by the client after the deadline for submission of the proposals stipulated by the client, shall not be opened. Each page of the proposal should be initialled by the authorised signatory (as defined in Section 4.2), of the Bidding Company / Bidding Consortium.

4.2. INSTRUCTIONS TO BIDDERS

The following may please be noted:
1. The Proposals for Pre-Qualification that are incomplete in any respect or are not consistent with the requirements as specified in this Request for Qualification, do not contain the Covering Letter and Letters of Acceptance as per the specified formats, shall be considered non-responsive and shall be liable for rejection.
2. Strict adherence to formats, wherever specified, is required. Non-adherence to formats may be a ground for declaring the proposal non-responsive.
3. All communication and information should be provided in writing and in the English language only.

Bidder

Sd/-
Superintending Engineer,
Public Health, Rajahmundry.
4. All the communication and information provided should be legible, and wherever the information is given in figures, the same should also be mentioned in words.

5. No change in, or supplementary information to, a proposal shall be accepted after its submission. However, the client reserves the right to seek additional information from the Bidders, if found necessary, during the course of evaluation of the proposal. Non-submission, incomplete submission or delayed submission of such additional information or clarifications sought by the client, may be a ground for rejecting the proposal.

6. The Proposals for Pre-Qualification shall be evaluated as per the eligibility criteria as specified in the Section 3.2 of this Request for Qualification. However, within the broad framework of the eligibility parameters as stated in this Request for Qualification, the client reserves the right to make modifications to the stated eligibility criteria, which would be uniformly applied to all the Bidders.

7. The Bidder should designate one person ("Contact Person" and "Authorised Signatory") to represent the Bidder in his dealings with the client. This designated person should be authorised to perform all tasks including, but not limited to providing information, responding to enquiries, entering into contractual commitments on behalf of the Bidder, etc. Such authorisation is to be submitted in the form of a Power of Attorney from the Bidding Company/Lead Bidder.

8. If any claim made, or information provided, by the Bidder in the Proposal for Pre-Qualification or any information provided by the Bidder in response to any subsequent query of the client, is found to be incorrect or is a material misrepresentation of facts, then the Proposal may be liable for rejection.

9. The Bidder shall be responsible for all the costs associated with the preparation of the proposal. The client shall not be responsible in any way for such costs, regardless of the conduct or outcome of this process.

10. The client reserves the right to reject any or all of the Proposals for Pre-Qualification without assigning any reason whatsoever.

11. Mere submission of information does not entitle the Bidder to meet an eligibility criterion. The client reserves the right to vet and verify any or all information submitted by the Bidder.

12. The client reserves the right to change, modify, add to or alter the bidding process including the right to rank and shortlist the Pre-Qualified Bidders, based on detailed evaluation of capabilities.

**4.3. VALIDITY OF TERMS OF THE PROPOSAL FOR PRE-QUALIFICATION**

Each proposal shall indicate that it is a firm and irrevocable offer, and shall remain valid and open for a period of not less than **nine months** from the last date for submission of the Proposal for Pre-Qualification. Non-adherence to this requirement will be a ground for declaring the Proposal as non-responsive. In exceptional circumstances, the client may solicit the Bidder’s consent for extension of the period of validity. The Bidder agrees to reasonably consider such a Request. The Request and response shall be in

Bidder

Sd/-

Superintending Engineer,

Public Health, Rajahmundry.
writing. A Bidder accepting the client’s Request for validity extension shall not be permitted to modify its proposal.

4.4. **ENQUIRIES**

Clarifications, if any, can be sought from the Superintending Engineer, Public Health Circle, Rajahmundry, 533103, E G Dist, Andhra Pradesh, INDIA

4.5. **FORMAT OF THE PROPOSAL FOR PRE-QUALIFICATION**

The Proposals for Pre-Qualification shall be submitted to the client in **one original and two duplicate copies.** The envelope containing the original shall be clearly marked "ORIGINAL" and the envelope containing the copies shall be marked "DUPLICATE". The 2 envelopes would be enclosed in a single sealed envelope, with the following inscription:

```
Proposal for Pre-Qualification for “Design Finance and Execution of Under Ground Drainage System With Treatment Plant for 1 Town area in Eluru Municipal Corporation, West Godavari District, Andhra Pradesh under Annuity Scheme”.
```

**Name of the Bidder: ________________________________**

The proposal shall be organised in the manner specified below:

1. **Section 1:**
   a) Covering Letter as per the format specified in (Exhibit 1)

2. **Section 2:**
   a) Description of the Bidding Company/ Bidding Consortium as per the format specified in. (Exhibit 3)
   b) MoU between the Consortium Member, incorporating the principles as specified in Exhibit 4 (for Bidding consortium as Bidder)
   c) Power of Attorney from the Bidding Company / Lead Consortium Member to designate and authorise one person to represent the Bidder in his dealings with the client.
   d) Letters of Acceptance, as per the format specified in Exhibit 2 from all the Member Companies.

3. **Section 3:**
   a) Information requirements as per the formats specified in Exhibit 6.
   b) Audited annual reports for the past three years for all the corporate entities that are desired to be considered for evaluation of the Proposal for Pre-Qualification
   c) Memorandum of Association/Article of Association filed before the registrar of Companies.
   d) Documents in support of experience of bidder.

Bidder

Sd/-

Superintending Engineer,

Public Health, Rajahmundry.
5. EXHIBIT 1: FORMAT OF THE COVERING LETTER

(The Covering Letter is to be submitted by the Bidding Company or the Lead Developer of a Bidding Consortium, along with the Proposal for Pre-Qualification)

Date:

To,
Superintending Engineer,
Public Health Circle,
Gorakshnapeta,
Rajahmundry, 533103
EastGodavari District,
Andhra Pradesh, INDIA

Place:

Dear Sir,

Sub: “Design Finance and Execution of Under Ground Drainage System WithTreatmentPlant for 1 Town area in Eluru Municipal Corporation, West Godavari District, Andhra Pradesh under Annuity Scheme”.

Please find enclosed one (1) original + two (2) copy of our Proposal for Pre-Qualification in respect of the “Design Finance and Execution of Under Ground Drainage System WithTreatmentPlant for 1 Town area in Eluru Municipal Corporation, West Godavari District, Andhra Pradesh under Annuity Scheme”.

in response to the Request for Qualification (“RFQ”) document no.

issued by the Superintending Engineer, Public Health Circle, Rajahmundry, (GoAP) on ____________, 2008.

We hereby confirm the following:

1. The Proposal for Pre-Qualification is being submitted by__________________________(name of the Bidding Company/Lead Developer) who is the Bidding Company / the Lead Consortium Member of the Bidding Consortium comprising ____________________________, in accordance with the conditions stipulated in the RFQ.

2. We__________________________(name of the Bidding Company/Lead Consortium Member), as the Bidding Company / the Lead Consortium Member, would be responsible for tying up the entire financing required for the Project.

3. We have examined in detail and have understood, and abide by, all the terms and conditions stipulated in the RFQ document issued by the client and in any subsequent communication sent by the client. Our Proposal for Pre-Qualification is consistent with all

Bidder

Sd/-
Superintending Engineer,

20 Public Health, Rajahmundry.
the requirements of submission as stated in the RFQ or in any of the subsequent communications from the client.

4. *(Required only in case of a Bidding Consortium)* Our Proposal for Pre-Qualification includes Letters of Acceptance, consistent with the format as specified in the RFQ, from all the Consortium Members.

5. The information submitted in our Proposal for Pre-Qualification is complete, is strictly as per the requirements as stipulated in the RFQ, and is correct to the best of our knowledge and understanding. We would be solely responsible for any errors or omissions in our Proposal for Pre-Qualification.

6. The Bidding Company / Bidding Consortium of which we are the Lead Developer/Lead Consortium Member, satisfies the legal requirements and meets all the eligibility criteria laid down in the RFQ.

7. A Power of Attorney from the Bidding Company/ Lead Consortium Member authorising the undersigned as the Authorised Signatory and Contact Person who is authorised to perform all tasks including, but not limited to providing information, responding to enquiries, entering into contractual commitments on behalf of the Bidder, etc., in respect of the Project is included as a part of the Proposal.

8. We hereby confirm that the proposal for pre-qualification shall remain valid for a period of 9 (Nine) months.

9. We undertake and certify that all information provided and documents produced by us are correct and we shall demonstrate the authenticity of the information as and when required.

For and on behalf of : 
Signature : 
(Authorised Signatory) 
Name of the Person : 
Designation : 

Bidder 
Sd/-
Superintending Engineer,
21 Public Health, Rajahmundry.
6. EXHIBIT 2: FORMAT OF LETTER OF ACCEPTANCE

(The Letters of Acceptance are to be submitted by the Consortium Members of the Bidding Consortium)

Date:

To,
Superintending Engineer,
Public Health Circle,
Gorakshnapeta,
Rajahmundry, 533103
East Godavari District,
Andhra Pradesh, INDIA

Place:

Dear Sir,


This has reference to the Proposal for Pre-Qualification being submitted by ____________________________ (mention the Lead Developer of the Bidding Consortium), as Lead Developer of the Bidding Consortium comprising ____________________________ (mention name(s) of the Member Companies) in respect of the “Design Finance and Execution of Under Ground Drainage System With Treatment Plant for 1 Town area in Eluru Municipal Corporation, West Godavari District, Andhra Pradesh under Annuity Scheme” (“Project”), in response to the Request for Qualification (“RFQ”) document (Document no.: ____________________________) issued by the Superintending Engineer, Public Health Circle, Rajahmundry (GoAP) on __________, 2008.

We hereby confirm the following:

1. We ____________________________ (name of the Consortium Member furnishing the Letter of Acceptance), have examined in detail and have understood and satisfied ourselves regarding the contents mainly in respect of the following:

   - The RFQ document issued by the Superintending Engineer, Public Health Circle, Anantapur;
   - All subsequent communications between the client and the Bidder, represented by ____________________________ (Mention name of the Lead Developer);
   - The MoU signed between / among ____________________________ (names of the Member Companies), as members of the Bidding Consortium; and
   - The Proposal for Pre-Qualification being submitted by ____________________________ (name of the Lead Developer/Lead Consortium Member).

Bidder

Sd/-
Superintending Engineer,
Public Health, Rajahmundry.
2. We have satisfied ourselves regarding our role as ________________ (here give a brief description of the role) in the Project as specified in the Proposal for Pre-Qualification. If the __________ (name of the Bidding Consortium) is awarded the Project we shall perform our role as outlined in the Proposal for Pre-Qualification to the best of our abilities. We have examined the Proposal in detail, and abide by the commitments made in the same.

3. We authorise ____________________ (name of the Lead Developer/Lead Consortium Member), as the Lead Developer /Lead Consortium Member and authorise the same to perform all tasks including, but not limited to providing information, responding to enquiries, entering into contractual commitments on behalf of the consortium, etc., in respect of this Project.

4. We therefore Request the client to consider our strengths, our experience, and our track record as specified in the Proposal for Pre-Qualification pursuant to the conditions specified in the RFQ, for the purposes of evaluation of the Proposal for Pre-Qualification.

For and on behalf of : 

Signature : (Authorised signatory of the Consortium Member)

Name of the Person : 

Designation :

Bidder

Sd/-

Superintending Engineer,

Public Health, Rajahmundry.
7. EXHIBIT 3: DESCRIPTION OF THE BIDDING COMPANY / BIDDING CONSORTIUM

| Name of the Bidding Company / Bidding Consortium | Name of the Lead Developer/Lead consortium Member |

In the event the Bidding Company (or) the Lead Developer /LCM is not incorporated under the Companies Act, 1956, Please provide the details of the equivalent International law under which the Bidding Company (or) the Lead Developer is registered as a corporate entity.

<table>
<thead>
<tr>
<th>S.No</th>
<th>Name of the Consortium Member</th>
<th>Role in the Bidding Consortium</th>
<th>Proposed Stake in the consortium</th>
</tr>
</thead>
</table>

In the event the Consortium Member (s) are not incorporated under the Companies Act, 1956, please provide the details of the equivalent International law under which the Consortium Member (s) are registered as a corporate entity.

Bidding companies/Consortium are Requested to provide the memorandum and Articles of Association filed before the Registrar of Companies for each member.
8. EXHIBIT 4: PRINCIPLES OF THE MEMORANDUM OF UNDERSTANDING TO BE EXECUTED BETWEEN THE MEMBER COMPANIES OF A CONSORTIUM

The principles based on which the Memorandum of Understanding (MoU) shall be executed between / among the Member Companies, are stated below:

1. The MoU should clearly specify the roles and responsibilities of each of the Consortium Members. It is expected that the individual members have role definitions not conflicting with that of the other members of the consortium. The operational responsibility should be assigned to only one of the Member Companies.

2. The MoU should clearly designate one of the Consortium Members as the Lead Consortium Member (LCM).

3. The LCM/LFM shall be responsible for tying up the complete financing required for the Project.

4. All Significant Consortium Members (SCM) of the Bidding Consortium shall be jointly and severally liable for the execution of the Project.

5. The LCM should be authorised to perform all tasks including, but not limited to providing information, responding to enquiries, entering into contractual commitments on behalf of the Bidders, etc. in respect of the Project.

6. The MoU should be duly signed by each of the Consortium Members.

7. The MoU should be executed on an appropriate stamp paper.

8. The MoU should be specific to the Project.

9. The MoU should be valid for a minimum of eighteen months from the last date for submission of the Proposal for Prequalification. The validity period of the MoU should be extendible on the original terms, if required by the client.
### 9. EXHIBIT 5: CONSIDERATION FOR EVALUATION

#### 9.1. ENTITY TO BE CONSIDERED FOR ELIGIBILITY CRITERIA FOR FINANCIAL CAPABILITY

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Name of the Company to be considered for evaluation of Financial Capability</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Bidding Company / Lead Consortium Member OR Lead Financial Member</td>
</tr>
</tbody>
</table>

#### 9.2. ENTITY TO BE CONSIDERED FOR ELIGIBILITY CRITERIA FOR TECHNICAL EXPERTISE

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Name of the entity to be considered for evaluation of technical qualification</th>
<th>Name</th>
<th>Incorporated under (Please specify the Law)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>In case of Bidding Company, indicate name:</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td><strong>OR</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>In case of Bidding Consortium, indicate name of Consortium Members whose experience is to evaluated:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
10.1. FINANCIAL ELIGIBILITY

10.1.1. Assumption for Currency Conversion

For evaluation under the Financial Eligibility criteria, the exchange rate considered for foreign currencies would be taken as that prevailing on the last date of submission of the proposal.

10.1.2. Format for information submission

<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>Total Assets</td>
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<td></td>
</tr>
<tr>
<td>Current Assets</td>
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<td></td>
<td></td>
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<tr>
<td>Paid up Equity</td>
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<td></td>
<td></td>
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<tr>
<td>Reserves</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Revaluation Reserves &amp; Special Reserves if any</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Miscellaneous Expenditure not written off</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Total Liabilities</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Current Liabilities</td>
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<td></td>
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<tr>
<td>Turnover</td>
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<tr>
<td>Depreciation</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Profit Before Interest and Tax</td>
<td></td>
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<tr>
<td>Profit Before Tax</td>
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<tr>
<td>Profit After Tax</td>
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<tr>
<td>Debt Repayment</td>
<td></td>
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<tr>
<td>Net Worth = (Paid up equity + Reserves) - (Revaluation Reserves + Miscellaneous Expenditure not Written Off)</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Net Cash Accruals = Profit After Tax + Depreciation + Other Non Cash Expense&quot;</td>
<td></td>
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</tbody>
</table>

NOTE: The information provided should be extracted from the Annual Financial Statement / Balance Sheet which should be enclosed for verification. The Balance Sheets provided should be audited accounts.
10.2. TECHNICAL EXPERIENCE

<table>
<thead>
<tr>
<th>Particulars</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of the entity</td>
<td></td>
</tr>
</tbody>
</table>

**Construction experience under 3.2.3(a) (financial year wise)**

| Name of the Under ground Drainage Project/Facility | |
| Location (Between) | |
| Name of the Town | |
| Cost of the Project | |
| Total Length of Sewage Pipe Lines | |
| Date and Year of commencement of construction | |
| Date and Year of completion | |
| Reference with Tel No and E-Mail (if any) of Client for verification of the details provided above. | |

**Category I: Experience under 3.2.3(b) (financial year wise)**

| Name of the Project | |
| Location | |
| Brief description of the Project | |
| Value of Work Executed | |
| Date and Year of commencement of construction | |
| Date and Year of completion | |
| Reference with Tel No and E-Mail (if any) of Client for verification of the details provided above. | |

**Category II: project experience under 3.2.3(c) (financial year wise)**

| Name of the Project | |
| Location | |
| Tentative quantity of the project | |
| Brief description of the project | |
| Value of work Executed | |
| Date and Year of commencement of construction | |
| Date and Year of completion | |
| Reference with Tel No and E-Mail (if any) of Client for verification of the details provided above. | |

**NOTE:** Bidders are required to provide documentary evidence of their experience. In the absence of such proof, the client reserves the right not to consider the information provided by the Bidders for evaluation.